Consolidated Administration and Processing of Entries (CAPE)

Last updated: November 4, 2026

On April 20, 2026, U.S. Customs and Border Protection (CBP) launched the first phase of the Consolidated Administration and Processing of Entries (CAPE) tool in the Automated Commercial Environment Secure Data Portal (ACE Portal). CAPE simplifies International Emergency Economic Powers Act (IEEPA) duty refund requests made pursuant to court order and in accordance with appropriate statutory authority by providing an electronic pathway to submit valid IEEPA duty refund claims.

CBP is expanding CAPE capabilities over multiple phases. This information notice is being updated as new CAPE enhancements are implemented during current and upcoming phases.

What does CAPE do?

Importers and customs brokers may file requests for refunds of IEEPA duties directly through the ACE Portal by uploading a comma-separated values (CSV) file in the new CAPE tab. The CAPE tab is available in the Importer, Organizational Broker, and Filer sub-accounts in ACE. The CSV file, called a *CAPE Declaration*, contains a list of entry numbers for which IEEPA refunds are requested. Each individual CAPE Declaration is limited to 9,999 entries, but multiple CAPE Declarations may be submitted. A downloadable CAPE Declaration template file is available through the "Upload" button in the CAPE tab. Note: Filers cannot use the Automated Broker Interface (ABI) to file a CAPE Declaration.

CAPE validates each submission and provides feedback to the filer as described below. For valid entry numbers, ACE updates the entry summary lines to remove the dutiable IEEPA Harmonized Tariff Schedule (HTS) Chapter 99 codes and duties, resulting in a new version of the entry summary. Once the IEEPA duties have been removed, ACE recalculates the duties owed without the dutiable IEEPA HTS code(s). In general, following CBP review, entries will be liquidated or reliquidated, and refunds will be consolidated by recipient and liquidation date and then issued.

Who can use CAPE?

CAPE Declarations may be submitted by the importer of record (IOR) for the entries listed or the customs broker that filed the entries on behalf of the IOR.

What entries does CAPE process?

CAPE currently processes most entries that are either unliquidated or up to 80 days past their liquidation date. This includes entries flagged for reconciliation (entry types 01, 02, 06) for which the reconciliation entry (entry type 09) has not been filed (see CSMS # 69035485 for details), as well as warehouse withdrawal entries (entry types 31, 32, 34, 38) (see CSMS # 69127837 for details). Following CBP review, these entries will be liquidated or reliquidated and refunds will be issued.

CAPE also processes entries with liquidation status of *suspended*, *extended*, or *under review*. These entries maintain their liquidation status until resolved, and the refund, if validated, will be issued following liquidation.

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Entries falling into the categories below are not currently accepted on a CAPE Declaration:

- Entries with type Entry Type 09 - Reconciliation Summary;
- Entries flagged for reconciliation with Entry Type 09 already on file, (see CSMS # 69035485 for details);
- Entries on a drawback claim;
- Warehouse entries (entry type 21 and 22) (see CSMS # 69127837 for details);
- Entries covered by an open protest;
- Entries not filed in ACE, and entries without a liquidation status in ACE;
- Entries subject to Antidumping/Countervailing Duties (AD/CVD), for which the Department of Commerce (DOC) has issued liquidation instructions, that are pending liquidation in accordance with 19 U.S.C. § 1504(d); and
- Entries for which liquidation is final.

Members of the trade community are prohibited from initiating an IEEPA duty refund request by filing a Post Summary Correction.

What validations are performed by CAPE?

ACE conducts two series of validations. The first series checks the CAPE Declaration, and the second series checks the individual entries listed in the file.

The CAPE Declaration file validations check whether:

- The submitter is the IOR for the listed entries or the customs broker that filed the entry summaries on the IOR's behalf;
- The CAPE Declaration contains a list of entry numbers; and
- The information is properly formatted.

If a submission fails any part of the file validation series, ACE rejects the CAPE declaration. The results from this series of validations are shown in the *File Upload Status* column of the *File Uploads* section of the CAPE tab. Filers can view the detailed results by selecting the *File Upload Job #* and downloading the *Validation Result File*, enabling filers to correct the identified issues and resubmit the request on a new CAPE Declaration. If the submission is successful, the CAPE Declaration proceeds to the entry validations.

The entry-specific validations check whether:

- Each entry summary number listed in the submitted CSV file exists in ACE;
- At least one dutiable IEEPA HTS Chapter 99 code was declared on each entry summary; and
- No entry summary number is duplicated in the same or prior declaration.

If an entry summary fails any of the entry-specific validations, ACE rejects that entry summary from the CAPE Declaration and continues processing the remaining entry summaries. Filers can review the results of the entry-specific validations by navigating to the CAPE *Claim Status* section, selecting the *Claim Number* and downloading the resulting file.

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Who receives refunds?

Refunds are issued to the importer of record (IOR) or, if designated on the original entry, a "*notify" party*. "Notify" parties are designated using CBP Form 4811 or the ACE Portal. If the designation specifies that the "notify" party should receive refunds on the IOR's behalf, and the "notify" party is listed on an entry, any refund for that entry will be issued to the "notify" party instead of directly to the IOR.

How are refunds paid?

Refunds are paid electronically by the U.S. Department of the Treasury (Treasury) through Automated Clearing House (ACH), and recipients must have U.S. bank account information designated for refunds on file with CBP. This bank account information is specific for refunds and is separate from any ACH information used to make payments to CBP. If recipients do not already have refund bank account information on file, they must provide it. This is done through the ACE Portal by accessing the ACH Refund Authorization tab that is found under the Financial Transactions header tab in an Importer sub-account. For instructions on obtaining an ACE Portal account, adding an Importer sub-account, or submitting bank information for refunds, recipients should review the ACH Refund Enrollment Overview page. The "Resources for Enrolling to Receive Customs-Related Refunds from CBP" section on the ACE website contains additional guidance for enrolling in ACH refunds.

How can refund status be monitored?

To help the trade community prepare and monitor CAPE declaration submissions, CBP has provided multiple ACE Reports products described in the Monitoring Refunds Webpage.

What additional resources are available?

- **IEEPA Duty Refunds webpage**
- **Training**
  - CBP published a Quick Reference Guide (QRG) for CAPE users on the ACE Training and Reference Guides webpage.
- **ACE Portal and ACH Refunds Resources**
  - One Page Overview: ACH Refund Enrollment
  - Frequently Asked Questions: ACE Portal and ACH Refunds FAQs
  - Training Video: Applying for an ACE Portal Importer Account and Enrolling in ACH Refunds
  - Training Guide: ACE Portal Importer Account Application
  - Training Guide: ACH Refund Enrollment in the ACE Portal
  - Training Guide: Using ACE Refund Reports
  - Rejected ACH Refund Information: Replacement Refund Instructions
- **Additional Support**
  - For technical questions about IEEPA refunds: IEEPARefunds@cbp.dhs.gov
  - For general inquiries about IEEPA refunds: traderelations@cbp.dhs.gov
  - For ACE technical issues, contact the ACE Account Service Desk (ASD) at 866-530-4172 or ace.support@cbp.dhs.gov